

APPLICATION FOR APPROVAL OF USER FOR OPERATING TERMINAL

To,
Ambalal Shares & Stock Pvt Ltd
No.163 II Floor Durga Bhavan
New katpadi Road
Vellore – 632004

Dear Sir,

I / We do here by communicate to you that Mr. / Mshas been appointed by us as an **Approved User**. I / We request you that he / she be granted permission to act as our authorized user / trainee to operate **NEAT / TWS / CTCL / IML** Terminal on theSegment of theExchange.

I / We shall ensure that he / she has the certification of the respective segment and exchange, as applicable to Approved User and undertake to continue to have valid certification thereafter. I / We hereby agree and bind myself / ourselves to be responsible for all acts, quotations made and transactions done, trades made, or affected by aforementioned Approved User on Trading System. I / We shall ensure that he / she will not execute any order on his / her own account or on account of anyone without such order having my / our prior approval. I / We shall also ensure that the proposed Trainee / User will not function / place orders / deal on the trading system in any manner in violation of Rules, Regulations and Bye-laws and Circulars issued by the Exchange that may be in force from time to time.

In case aforementioned trainee / user is ceasing to be associated with us, I / we shall communicate to you and seek the disablement of the User ID so allotted against this application.

Date:

Place: Signature of Sub Broker / Authorised Person / Branch Manager

Declaration from Approved User

I agree to become a **Approved User** of **Ambalal Shares & Stock Pvt Ltd**. to operate **NEAT / TWS / CTCL / IML** Terminal on theSegment of theExchange.

I declare that I have the certification of the respective segment and exchange, as applicable to approved user and undertake to continue to have valid certification thereafter. I also declare that I am aware about the Rules, Regulations & Byelaws and Circulars issued by SEBI and / or Exchange/s and agree to abide by the same that may be in force from time to time. I also understand that in case of violation of these Rules, Regulations & Byelaws and Circulars by me, appropriate action may be initiated by the SEBI and / or Exchange/s and / or Ambalal Shares & Stock Pvt Ltd against me.

I certify that I have not applied for any other User ID on the segment of the exchange for which this ID is now being applied for. I undertake that I will not allow anybody else to access / use the Trading System with the User ID so allotted to me. I also undertake to intimate Ambalal Shares & Stock Pvt Ltd on ceasing to be a Trainee / Approved User of the same.

Date:

Place: Signature of Terminal User

----- **For Office Use Only** -----

Branch Series	Terminal No.	Signatur

TERMINAL - ID DETAILS

(Please fill Separate form for each terminal)

Branch Details	Code:	
	Name:	
Terminal Id	(For office Use Only)	
Details of Terminal User	Name: Mr. / Ms.	
	(Surname)	(First Name)
	(Middle Name)	
	Date of Birth (dd/mm/yyyy):	Employee Code:
	Father's Name: Mr.	
	(Surname)	(First Name)
	(Middle Name)	
Residential Address:		
City:		Pin Code:
State:		Country:
Details of the Certificate used for the terminal	Name of the certificate :	
	Registration no. of the certificate:	
	Date of validity of Certificate (dd/mm/yyyy):	
Exchange & Segment (Select one option only)	<input type="checkbox"/> NSE F&O <input type="checkbox"/> BSE F&O <input type="checkbox"/> NSE CDS <input type="checkbox"/> MCX-SX - CDS	
Type of Terminal (Select one option only)	<input type="checkbox"/> NOW (for NSE / BSE) <input type="checkbox"/> FASTRADE (for BSE) <input type="checkbox"/> ODIN (for NSE) <input type="checkbox"/> Others (Specify)	
Purpose of Terminal	<input type="checkbox"/> Trading <input type="checkbox"/> Viewing	
Status of Office where CTCL Terminal is located	<input type="checkbox"/> Head Office <input type="checkbox"/> Branch office <input type="checkbox"/> Registered Sub- Broker's office <input type="checkbox"/> Approved Authorised Person's office	
Relationship with Approved User using Terminal	<input type="checkbox"/> Employee <input type="checkbox"/> Approved Authorised Person <input type="checkbox"/> Employee of Approved Authorised Person	
Exchange registration no. (AP)		
Postal Address and telephone no. of Terminal Location		
	City:	Pin Code:
	State:	Country:
	Tel No with STD code:	
Mobile No. :		
Mode of Connectivity	<input type="checkbox"/> VSAT <input type="checkbox"/> LEASELINE <input type="checkbox"/> INTERNET <input type="checkbox"/> ISDN <input type="checkbox"/> WIRELESS <input type="checkbox"/> OTHERS	
Declaration I hereby declare that the details furnished above are true and correct to the best of my knowledge and I undertake to inform you of any changes therein immediately. In case, any of the above information is found to be false or untrue or misleading or misrepresenting I am aware that I may be held liable for it.		
Place:	Signature of terminal user:	For Ambalal Shares & Stocks Pvt Ltd Authorised Signatory
Date:	Name:	Name: